

9/24/07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

WOOD

Serial No.: 09/781,201

Filed: February 13, 2001

Art Unit: 2135

Conf. No.: 7037

Examiner: T. A. Gyorgi

Atty. Docket No.: 05-163-US

**AUTHENTICATION DEVICE
HAVING EMBEDDED
BISTABLE DISPLAY, AND
ASSOCIATED SYSTEM AND
METHOD**

Mall Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Dear Sir:

1. **REFUND REQUEST**

This is a request for a refund with respect to a charge to a credit card on July 23, 2007, for the above-identified

application.

patent.

A copy of the Electronic Patent Application Fee Transmittal, in which the error referred to occurs, accompanies this request.

2. FEES CHARGED FOR WHICH REFUND REQUESTED

	<u>AMOUNT OF REFUND REQUESTED</u>
<input type="checkbox"/> Filing Fee	
<input type="checkbox"/> Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e))	
and/or	
<input type="checkbox"/> Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	
<input type="checkbox"/> Extension of term	
<input type="checkbox"/> first month	
<input type="checkbox"/> second month	
<input type="checkbox"/> third month	
<input type="checkbox"/> fourth month	
<input type="checkbox"/> fifth month	
<input checked="" type="checkbox"/> Excess Claims	720.00
<input type="checkbox"/> Issue fee	
<input type="checkbox"/> Petition fee	
<input type="checkbox"/> Patent Maintenance Fee	
<input type="checkbox"/> first maintenance fee	
<input type="checkbox"/> second maintenance fee	
<input type="checkbox"/> third maintenance fee	
<input type="checkbox"/> Patent maintenance fee surcharge	
<input type="checkbox"/> Other: Appeal Fee	
TOTAL REFUND REQUESTED	\$720.00

3. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR:

Although Applicant paid large entity filing fees at the outset of filing the above-referenced application, Applicant, at the time of filing the application (on February 13, 2001) as well throughout prosecution of the application, including at the time of filing the Request for Continued Examination ("RCE") and related papers on July 23, 2007, is and always has been entitled to claim small entity status. Therefore, payment of the extra claims fees and RCE filing fees at the large entity rate on July 23, 2007, was made in error. Thus, a refund of one-half of the extra claims fees and RCE filing fees, totalling \$720.00, paid on July 23, 2007, is hereby requested.

Payment of the large entity fees was in error. Applicant is entitled to claim small entity status. This request for refund is timely in accordance with 37 C.F.R. §1.26(a) and §1.28(a).

4. MANNER OF REFUND

Please make refund by:

- crediting Account No. 18-0582.
 refunding payment to the credit card used on July 23, 2007.

Respectfully submitted,



Cheryl L. Gastineau
Reg. No. 39,469

Dated: September 24, 2007

REED SMITH LLP
P.O. Box 488
Pittsburgh, PA 15230-0488
(412) 288-4152

Agent for Applicant

Electronic Patent Application Fee Transmittal

Application Number:	09781201			
Filing Date: <small>Refund 10/04/2007 Ref: 0030045522</small>	13-Feb-2001			
<small>Credit Card Refund Total: 10/04/2007 SDIRETAY 00000003 09781201</small> <small>01 FC:1202 02 FC:1201 03 FC:1801</small>	<small>Authentication device having embedded bistable display, and associated system and method</small>			
First Named Inventor/Applicant Name:	Roger D. Wood			
 Filer:	Cheryl L. Gastineau/Jody Burtner			
Attorney Docket Number:	06683.0002.NPUS00			
Filed as Large Entity				
Utility Filing Fees				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Claims in excess of 20	1202	9	50	450
Independent claims in excess of 3	1201	1	200	200
Miscellaneous-Filing:				
Petition: <small>SDIRETAY 10/04/2007 09781201 1450.00 200.00 790.00</small>				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				

Adjustment date: 10/04/2007 SDIRETAY
 07/24/2007 INTEFSU
 00000172 09781201
 01 FC:1202
 02 FC:1201
 03 FC:1801

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Request for continued examination	1801	1	790	790
Total in USD (\$)				1440